



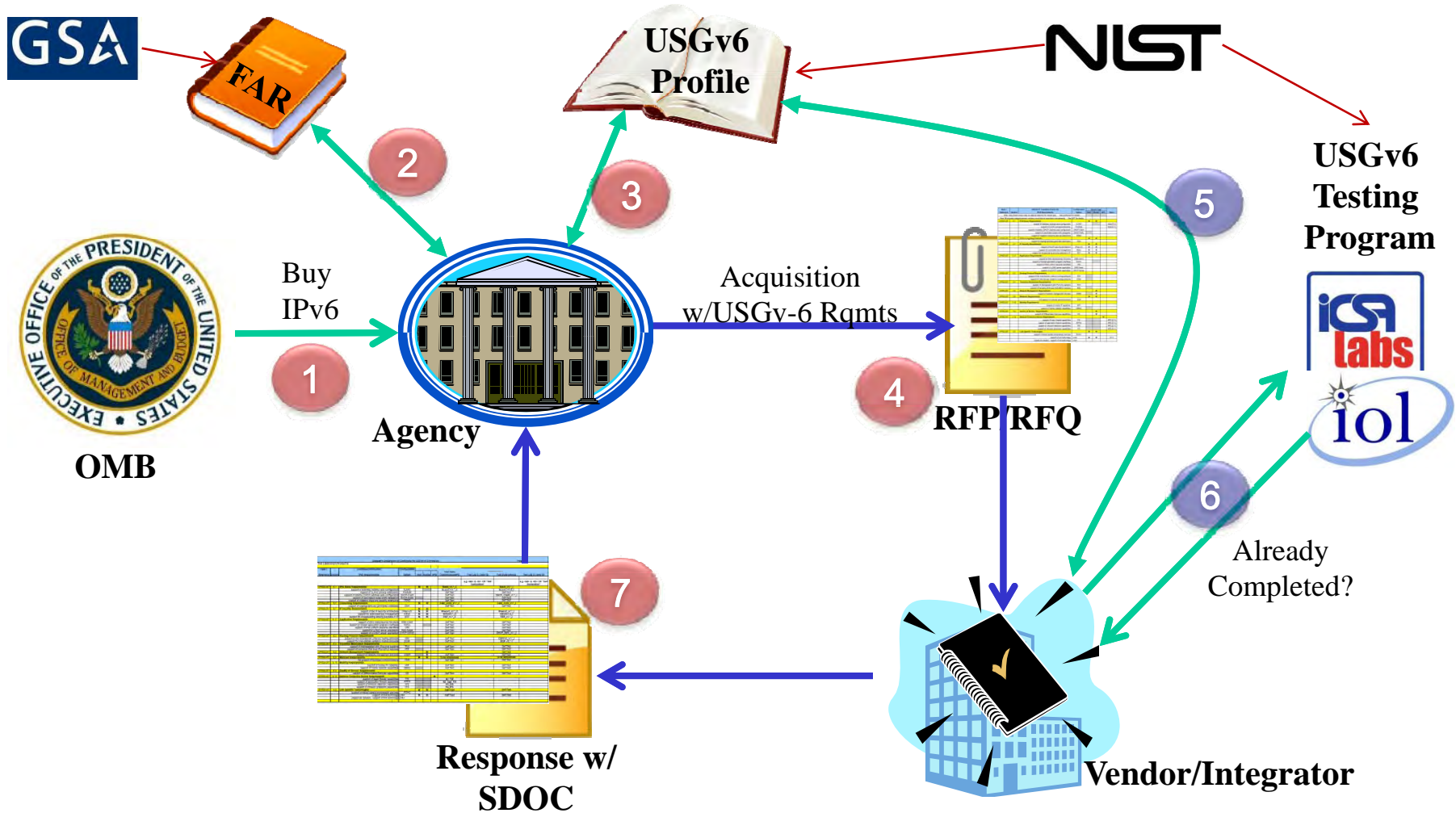
Dale Geesey  
Chief Operating Officer  
Auspex Technologies, LLC  
Phone: 703.319.1925  
Fax: 866.873.1277  
E-mail: [dgeesey@auspextech.com](mailto:dgeesey@auspextech.com)  
Web: [www.auspextech.com](http://www.auspextech.com)

# Understanding the USGv6 Profile

*NIST Special Publication 500-267 A Profile for  
IPv6 in the U.S. Government – Version 1.0*

02/02/2011

## USGv6 – A Concept in Agency IPv6 Acquisitions



# IPv6 Addition to the FAR

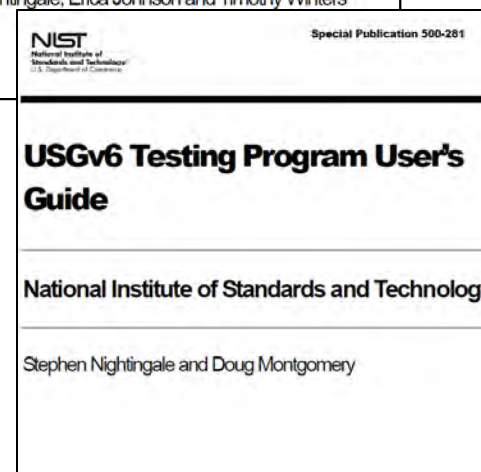
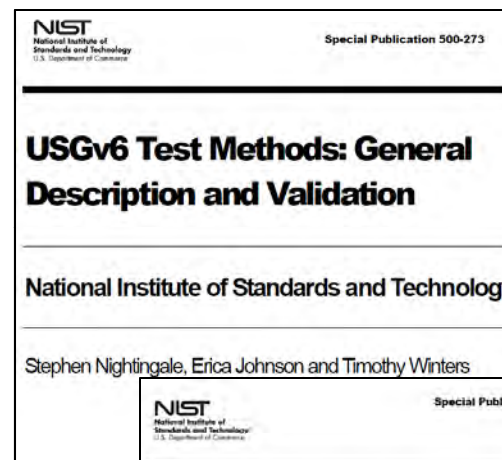
- 11.002(g)

*Unless the agency Chief Information Officer waives the requirement, when acquiring information technology using Internet Protocol, the requirements documents must include reference to the appropriate technical capabilities defined in the USGv6 Profile (NIST Special Publication 500-267) and the corresponding declarations of conformance defined in the USGv6 Test Program. The applicability of IPv6 to agency networks, infrastructure, and applications specific to individual acquisitions will be in accordance with the agency's Enterprise Architecture (see OMB Memorandum M-05-22 dated August 2, 2005).*

- Acquisition Focused (not deployment, operational, etc.)
- Purpose
  - *Define a simple taxonomy of common network devices;*
  - *Define their minimal mandatory IPv6 capabilities and identify significant configuration options so as to assist agencies in the development of more specific acquisition and deployment plans; and,*
  - *Provide the technical basis upon which future USG policies can be defined.*
- Why
  - OMB Directed (05-22)
  - USG-wide benefit from a common definition of IPv6 capabilities
  - Promote confidence and protect IPv6 investments
  - “Raise the bar” of IPv6 security and network protection technologies
  - Existing profiling and testing efforts are insufficient for USG requirements
  - Support IPv6 progression to meeting future USG IPv6 requirements and protect investments

# Federal IPv6 Product Testing Program

- Tied to Federal IPv6 Product Profile
- Utilizes Suppliers Declaration of Conformity process
- Leveraged by changes to FAR
- Types of Testing
  - Conformance
  - Interoperability
  - Network Protection Device
- 1<sup>st</sup>/2<sup>nd</sup>/3<sup>rd</sup> Party Testing



# USGv6 Acquisition Process in a Nutshell

- Provides the ability for an agency to specify what they mean when they say “I want to buy an IPv6 capable/enabled/etc product”
- Pulls from IETF RFCs (and other sources)
- Provides agency with tested products (to some degree)
  - Conformance
  - Interoperability
  - Security



# Tools - Agency Sends out an IPv6 Profile (Part of RFP/RFQ)

DISCOVER THE TRUE VALUE OF TECHNOLOGY

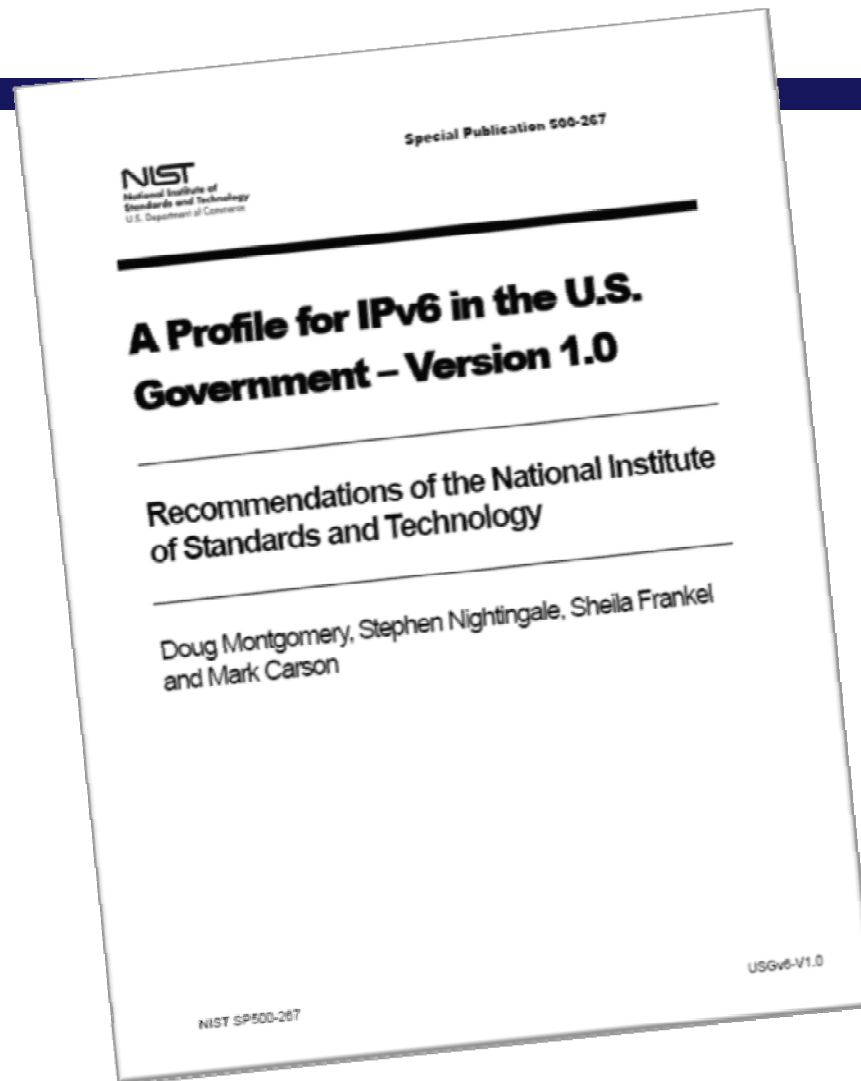
Spec / Reference	Section	USGv6-V1 Node Requirements		Status	Year	Condition / Context	Host	Router	NPD	Effective Date
		Title / Definition								
<b>IPv6 Basic Requirements</b>										
<a href="#">RFC2460</a>		IPv6 Specification		DS	1998		M	M		2010/07
	2	IPv6 Packets: send, receive					M	M		2010/07
	2	IPv6 packet forwarding						M		2010/07
	4	Extension headers: processing					M	M		2010/07
	4.3	Hop-by-Hop & unrecognized options					M	M		2010/07
	4.5	Fragment headers: send, receive, process					M	M		2010/07
	4.6	Destination Options extensions					M	M		2010/07
<a href="#">RFC5095</a>		Deprecation of Type 0 Routing Headers		PS	2007		M	M		2010/07
<a href="#">RFC2711</a>		IPv6 Router Alert Option		PS	1999			M		2010/07
<a href="#">RFC4443</a>		ICMPv6		DS	2008		M	M		2010/07
<a href="#">RFC4884</a>		Extended ICMP for Multi-Part Messages		PS	2007		S+	S+		
<a href="#">RFC1981</a>		Path MTU Discovery for IPv6		DS	1998		M	M		2010/07
	4	Discovery Protocol Requirements					M	S+		2010/07
<a href="#">RFC2875</a>		IPv6 Jumbograms		PS	1999		O	O		
<a href="#">RFC4861</a>		Neighbor Discovery for IPv6		DS	2008		M	M		2010/07
	4.1, 4.2	Router Discovery					M	M		2010/07
	4.6.2	Prefix Discovery					M	M		2010/07
	7.2	Address Resolution					M	M		2010/07
	7.2.5	NA and NS processing					M	M		2010/07
(RFC4862)	7.2.3	Duplicate Address Detection					M	M		2010/07
	7.3	Neighbor Unreachability Detection					M	M		2010/07
	8	Redirect functionality					S	M		2010/07
<a href="#">RFC5175</a>		IPv6 Router Advertisement Flags Option		PS	2008		S	S		
<a href="#">RFC4191</a>		Default Router Preference		PS	2005		S+	S+		
<a href="#">RFC3971</a>		Secure Neighbor Discovery		PS	2005	SEND	c(M)	c(M)		2010/07

# Tools - Agency Gets an SDOC

Supplier's Declaration of Conformity for USGv6-v1.0 Products										Page 3
Product Id										
Spec / Reference	Section	Additional Information IPv6 Requirements	Configuration Option	Configuration			Test Suite Conformance/NPD	Test Lab & Result ID	Test Suite Interop	Test Lab & Result ID
				Host	Router	NPD				
									e.g <lab> & <ID> OR "Self Declaration"	e.g <lab> & <ID> OR "Self Declaration"
SP500-267	6.1	<b>IPv6 Basic Requirements</b>		M	M		<b>Basic v1.* C</b>		<b>Basic V1.* I</b>	
		support of stateless address auto-configuration	SLAAC				SLAAC-V1.* C		SLAAC-V1.* I	
		support of SLAAC privacy extensions	Private				Self Test		Self Test	
		support of stateful (DHCP) address auto-configuration	DHCP-Client				Self Test		DHCP-Client v1.* I	
		support of automated router prefix delegation	DHCP-Prefix				Self Test		Self Test	
		support of neighbor discovery security extensions	SEND				Self Test		Self Test	
SP500-267	6.6	<b>Addressing Requirements</b>		M	M		<b>Addr Arch v1.* C</b>		<b>Addr Arch v1.* I</b>	
		support of cryptographically generated addresses	CGA				Self Test		Self Test	
SP500-267	6.7	<b>IP Security Requirements</b>		M	M		<b>IPsecv3 v1.* C</b>		<b>IPsecv3 v1.* I</b>	
		support of the IP security architecture	IPsec-V3	M	M		IPsecv3 v1.* C		IPsecv3 v1.* I	
		support for automated key management	IKEv2	M	M		IKEv2V1.* C		IKEv2V1.* I	
		support for encapsulating security payloads in IP	ESP	M	M		ESP v1.* C		ESP v1.* I	
SP500-267	6.11	<b>Application Requirements</b>								
		support of DNS client/resolver functions	DNS-Client				Self Test		Self Test	
		support of Socket application program interfaces	SOCK				Self Test		Self Test	
		support of IPv6 uniform resource identifiers	URI				Self Test		Self Test	
		support of a DNS server application	DNS-Server				Self Test		Self Test	
		support of a DHCP server application	DHCP-Server				Self Test		DHCP-Serv v1.* I	
SP500-267	6.2	<b>Routing Protocol Requirements</b>								
		support of the intra-domain (interior) routing protocols	IGW				Self Test		OSPFv3 v1.* I	
		support for inter-domain (exterior) routing protocols	EGW				Self Test		BGP v1.* I	
SP500-267	6.4	<b>Transition Mechanism Requirements</b>								
		support of interoperation with IPv4-only systems	IPv4				Self Test		Self Test	
		support of tunneling IPv6 over IPv4 MPLS services	BPE				Self Test		Self Test	
SP500-267	6.8	<b>Network Management Requirements</b>			M					
		support of network management services	SNMP		M		Self Test		Self Test	
SP500-267	6.9	<b>Multicast Requirements</b>		M	M					
		full support of multicast communications	SSM				Self Test		Self Test	
SP500-267	6.10	<b>Mobility Requirements</b>								
		support of mobile IP capability	MIP				Self Test		Self Test	
		support of mobile network capabilities	NEMO				Self Test		Self Test	
SP500-267	6.3	<b>Quality of Service Requirements</b>								
		support of Differentiated Services capabilities	DS				Self Test		Self Test	
SP500-267	6.12	<b>Network Protection Device Requirements</b>				M				
		support of basic firewall capabilities	FW				N1 FW			
		support of application firewall capabilities	APFW				N2 App FW			
		support of intrusion detection capabilities	IDS				N3 IDS			
		support of intrusion protection capabilities	IPS				N4 IPS			
SP500-267	6.5	<b>Link Specific Technologies</b>		M	M					
		support of robust packet compression services	RCHC				Self Test		Self Test	
		support of link technology	Link=	M	M		Self Test		Self Test	
		(repeat as needed) support of link technology	Link=							



# USGV6 – BUILDING PROFILES



## Device Types



### Host

- Any Node that is not a Router. A Host's primary purpose is to support application protocols that are the source and/or destination of IP layer communication.



### Router

- A Node that interconnects sub-networks by packet forwarding. A Router's primary purpose is to support the control protocols necessary to enable interconnection of distinct IP sub-networks by IP layer packet forwarding.



### Network Protection Device

- Firewalls or Intrusion Detection / Prevention devices that examine and selectively block or modify network traffic.

# Functional Categories

DISCOVER THE TRUE VALUE OF TECHNOLOGY

IPv6 Basic  
Capabilities

Routing Protocols

Quality of Service

Transition  
Mechanisms

Link Specific  
Capabilities

Addressing

IP Security

Network  
Management

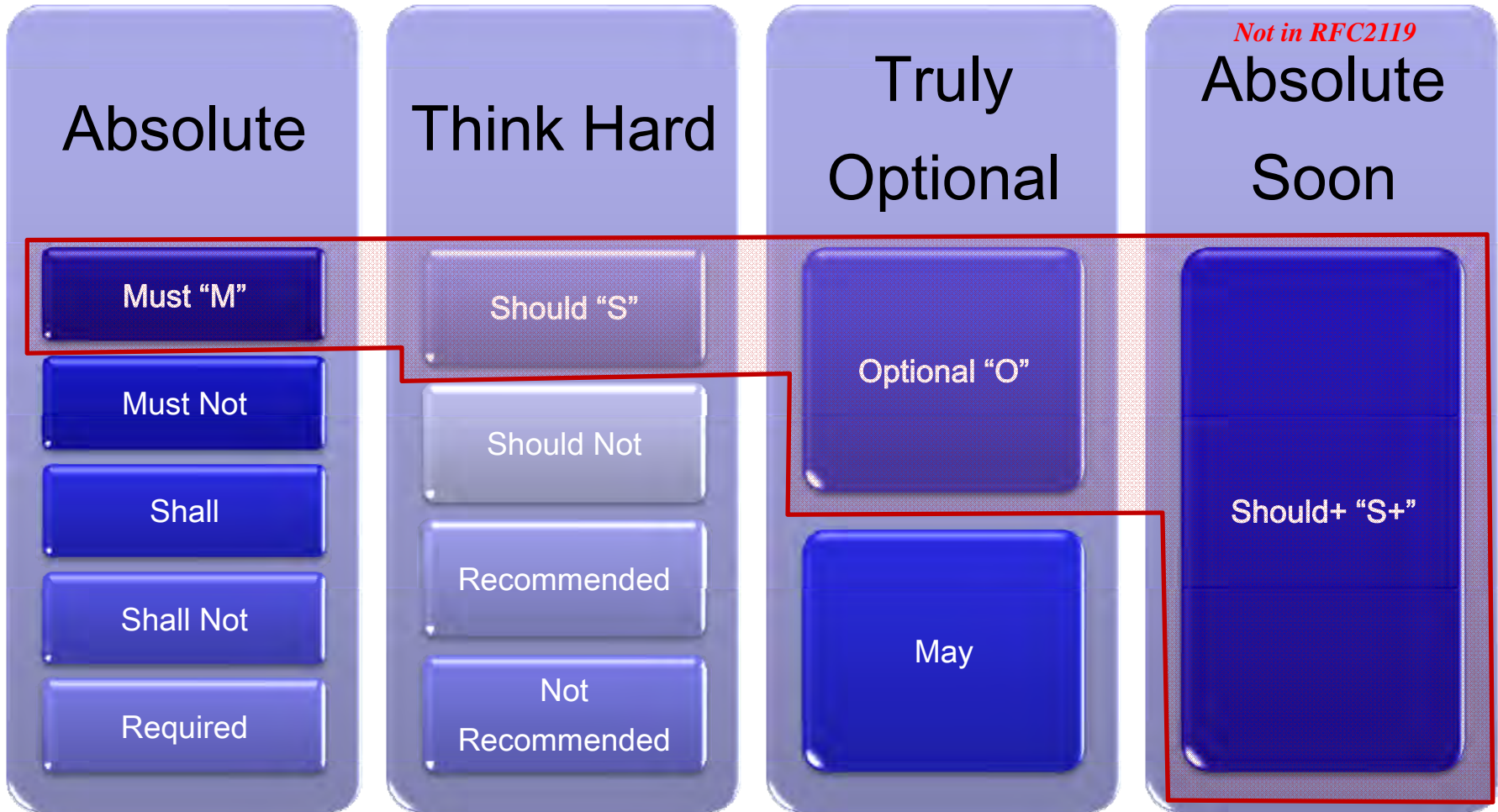
Multicast

Mobility

Application  
Requirements

Network Protection  
Device  
Requirements

# Profile Utilizes IETF RFC2119 Terminology



# USGv6 Profile Specific Terminology

- Specific Line Items
  - “M” = Mandatory “O” = Optional “S” = Should “S+” = Should+ (mandatory in future)
  - c(X,Y) = Configuration Option, if selected then the requirement is “X”, otherwise “Y”
    - Example “c(M,S)” if true then it is “M”, otherwise it is “S”
  - c(X) = Shorthand notation for above, “Y” in this case is considered “O” Optional.
- Requirements Table
  - “O:n” = Optional, but must choose “n” options from the set
    - Example “O:1” choose 1 option, “O:3” choose 3 options
  - “Y/N” = Optional and a simple yes or no selection
- Template
  - Entire Functional Categories
    - “M” (mandatory): Contains unconditional MUSTs and may have Options
    - “O” (optional): Does not contain unconditional MUSTs
- “USGv6-V1-Capable” = set of requirements that are unconditionally mandatory
- “USGv6-V1-Compliant” = “USGv6-V1-Capable” + requirements that are mandatory under each of the selected configuration options

# Reading the Node Requirements Table

Spec / Reference	Section	USGv6-V1 Node Requirements			Condition / Context	Host	Router	NPD	Effective Date
		Title / Definition	Status	Year					
		<b>IPv6 Basic Requirements</b>							
<a href="#">RFC2460</a>		IPv6 Specification	DS	1998		M	M	2010/07	
		IPv6 Packets: send, receive				M	M	2010/07	
		IPv6 packet forwarding					M	2010/07	
		Extension headers: processing				M	M	2010/07	
		Hop-by-Hop & unrecognized options				M	M	2010/07	
		Fragment headers: send, receive, process				M	M	2010/07	
		Destination Options extensions				M	M	2010/07	
<a href="#">RFC5095</a>		Preparation of Type 0 Routing Headers				M	M	2010/07	
<a href="#">RFC2711</a>		IPv6 Router Alert Option					M	2010/07	
<a href="#">RFC4443</a>		ICMPv6				M	M	2010/07	
<a href="#">RFC4884</a>		Extended ICMP for Multi-Part Messages	PS	2007		S+	S+		
<a href="#">RFC1981</a>		Path MTU Discovery for IPv6	DS	1998		M	M	2010/07	
	4	Discovery Protocol Requirements				M	S+	2010/07	
<a href="#">RFC2875</a>		IPv6 Jumbograms	PS	1999		O	O		
<a href="#">RFC4861</a>		Neighbor Discovery for IPv6	DS	2008		M	M	2010/07	
	4.1.4.2	Router Discovery				M	M	2010/07	
	4.6.2	Prefix Discovery				M	M	2010/07	
	7.2	Address Resolution				M	M	2010/07	
	7.2.5	ICMPv6 Neighbor Solicitation and Neighbor Advertisement processing				M	M	2010/07	
(RFC4862)	7.2.3	Duplicate Address Detection				M	M	2010/07	
	7.3	Neighbor Unreachability Detection				M	M	2010/07	
	8	Redirect functionality				S	M	2010/07	
<a href="#">RFC5175</a>		IPv6 Router Advertisement Flags Option	PS	2008		S	S		
<a href="#">RFC4191</a>		Default Router Preference	PS	2005		S+	S+		
<a href="#">RFC3971</a>		Secure Neighbor Discovery	PS	2005	SEND	c(M)	c(M)	2010/07	

**Specific Profile Item**

**Device Type**

**Functional Category**

**RFC Reference**

**Requirement Level**

# Creating a Product Specific Profile

- Agency Specific Product Profile
  - Decide the device type
  - Start with unconditional “M” mandatory set (USGv6-V1-Capable)
  - Add sets of requirements that are “C” conditional (USGv6-V1-Compliant)
  - Add “S” should and “S+” requirements for inclusion (Close)
  - Add “O” optional (USGv6-V1-Agency-Product-Compliant)
  - *\* Modify any “M”s*
  - *\* Add others*
- How many choices are there?

	M	S	S+	C	O	Choices
Host	54	10	15	69	18	112
Router	67	12	22	58	18	92
NPD	9	0	0	14	0	14

# Is There An Easier Way?

- Yes - use the templates provided in the Profile
  - Host (20 Choices)
  - Router (22 Choices)
  - NPD (4 Choices)
- Common selections
- Shorthand Notation Available, examples:
  - USGv6-V1-Capable+DHCP-client+Sock+DNS-Client+Link=Ethernet
  - USGv6-V1-Capable+SLAAC+Sock+DNS-Client+MIP+Link=PPP+Link=Ethernet
- Is this the best approach?
  - Maybe/Maybe Not
  - Do you need more options?

## USGv6-V1 Host Requirements Template:

- [M] – IPv6 Basic Requirements – see section 6.1.
  - [O:1] – SLAAC – require support of stateless address auto-configuration.
  - [O:1] – DHCP-Client – require support of stateful (DHCP) address auto-configuration.
  - [Y/N] – PrivAddr – require support of SLAAC privacy extensions.
  - [Y/N] – SEND – require support of neighbor discovery security extensions.
- [M] – Addressing Requirements – see section 6.6.
  - [Y/N] – CGA – require support of cryptographically generated addresses.
- [O] – Application Requirements – see section 6.11.
  - [Y/N] – DNS-Client – require support of DNS client/resolver functions.
  - [Y/N] – SOCK – require support of Socket application program interfaces.
  - [Y/N] – URI – require support of IPv6 uniform resource identifiers.
  - [Y/N] – DNS-Server – require support of a DNS server application.
  - [Y/N] – DHCP-Server – require support of a DHCP server application.
- [M] – IP Security Requirements – see section 6.7.
  - [M] – IPsec-V3 – require support of the IP security architecture.
  - [M] – IKEv2 – require support for automated key management.
  - [M] – ESP – require support for encapsulating security payloads in IP.
- [O] – Transition Mechanism Requirements – see section 6.4.
  - [Y/N] – IPv4 – require support to enable interoperation with IPv4-only systems.
- [O] – Network Management Requirements – see section 6.8.
  - [Y/N] – SNMP – require support of network management services.
- [M] – Multicast Requirements – see section 6.9.
  - [Y/N] – SSM – require full support of multicast communications.
- [O] – Mobility Requirements – see section 6.10.
  - [Y/N] – MIP – require support of capability for this host to be a mobile node.
- [O] – Quality of Service Requirements – see section 6.3.
  - [Y/N] – DS – require support of Differentiated Services capabilities.
- [M] – Link Specific Technologies – see section 6.5.
  - [O:1] – Link – require support of 1 or more link technologies.
  - [Y/N] – ROHC – require support of robust packet compression services.



# How to Select Which S, S+, C and O's to Include

- This is the big question
  - Not really a one size fits all
  - Some profiles will be common across agencies
  - Many will not and may vary based on how much IPv6 you plan to use
- Sources to help select
  - Mission/Agency Requirements
  - Policies
  - Future Planning
  - Testing
  - Engineer Support (Internal/External)
  - NIST
  - Vendors
  - IETF
- Considerations
  - Will it do what I want it to do?
  - Will it do what I do not want it to do?
  - How much will it cost?
  - Security

# USGv6 Profile Interesting Notes

- Expected that agencies will augment and/or modify specifications
  - Meet their own requirements
  - Configuration options
  - Agencies may modify profile conformance requirements
    - Must ensure interoperability with conforming systems
    - No easy way to do this
- Scope of devices and mandatory capabilities
  - Partially Conservative: Lowest common denominator of capabilities common to the USG as a whole
  - Partially Aggressive: Areas for current and future security
  - Options: To make up the difference
- Only addresses IPv6 requirements
  - Cannot stand in isolation
  - IPv4 capabilities, Hardware, Performance, Reliability, Support, etc.

Dale Geesey  
Chief Operating Officer  
Auspex Technologies, LLC  
Phone: 703.319.1925  
Fax: 866.873.1277  
E-Mail:  
dgeesey@auspextech.com  
Web: [www.auspextech.com](http://www.auspextech.com)  
(IPv6 Enabled)

